



*The voice for Real Estate*

TO: WHOM IT MAY CONCERN

FROM: The Professional Standards Staff

SUBJECT: Ethics Complaint

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Enclosed is a Complaint Form which must be completed in its entirety by you and returned to us along with a written statement and any supporting documentation in order for your complaint to be processed.

Please supply us with an email address that we can send further communications to. If you are not reachable by email place an X on the appropriate box on the complaint form.

You will be notified once your complaint is processed. The Board is not empowered to award damages to a member of the public or to compel a broker to release a member of the public from a listing agreement.

If you have any questions regarding our Complaint Form or the procedures for filing, please call the Professional Standards Chairperson, Dutch Bageant @ 910-264-3909 or TIAR Association office @910-329-1406

Sincerely,  
The Professional Standards Staff

Encs.

**Form #E-1**

Topsail Island Association of REALTORS®

State Association

13775 NC Hwy 50, Suite 402

Address

Surf City

City

NC

State

28445

Zip

**Ethics Complaint**

To the Grievance Committee of the

Topsail Island Association of REALTORS®

State Association

Filed: \_\_\_\_\_ 20 \_\_\_\_\_

Complainant(s)

Respondent(s)

Complainant(s) charge(s):

An alleged violation of Article(s) \_\_\_\_\_ of the Code of Ethics or other membership duty as set forth in the bylaws of \_\_\_\_\_ the \_\_\_\_\_ Association in \_\_\_\_\_

Article, Section

And alleges that the above charge(s) (is/are) supported by the

attached statement, which is signed and dated by the complainant(s).

This complaint is true and correct to the best knowledge and belief of the undersigned and is filed within one hundred eighty (180) days after the facts constituting the matter complained of could have been known in the exercise of reasonable diligence or within one hundred eighty (180) days after the conclusion of the transaction, whichever is later.

I (we) declare that to the best of my (our) knowledge and belief, my (our) allegations in this complaint are true.

Are the circumstances giving rise to this ethics complaint involved in civil or criminal litigation or in any proceeding before the state real estate licensing authority or any other state or federal regulatory or administrative agency?

☐ Yes ☐ No

You may file an ethics complaint in any jurisdiction where a REALTOR® is a member or MLS participant. Note that the REALTORS® Code of Ethics, Standard of Practice 14-1 provides, in relevant part, "REALTORS® shall not be subject to disciplinary proceeding in more than one Board of REALTORS® . . . with respect to alleged violations of the Code of Ethics relating to the same transaction or event."

Have you filed, or do you intend to file, a similar or related complaint with another Association(s) of REALTORS®?

☐ Yes ☐ No

If so, name of other Association(s): \_\_\_\_\_ Date(s) filed: \_\_\_\_\_

I understand that should the Grievance Committee dismiss this ethics complaint in part or in total, that I have twenty (20) days from my receipt of the dismissal notice to appeal the dismissal.

Complainant(s):

Type/Print Name

Signature

Type/Print Name

Signature

Address

Phone

Email

(Revised 11/09)