

COMPLAINT PROCEDURES OUTLINE

Whether the complaint comes from the public or another REALTOR®:

- The first recommendation is MEDIATION or OMBUDSMAN services where a trained and
 impartial third party will contact the complainant and the respondent (usually by phone) in an
 effort to resolve the misunderstanding. If this service is accepted and issue is resolved, no
 further steps are necessary. This service is scheduled by Board staff.
- 2. If these services are rejected or unsuccessful then a formal complaint can be filed by filling out the appropriate form found in the National Association of Realtors®(NAR) Code of Ethics and Arbitration Manual, citing the specific Article/s of the Code that have been violated. This Manual is available on the National Association of REALTORS® (NAR) website www.nar.realtor (in the search window type Code of Ethics and Arbitration Manual) or the latest edition of the book is in the Board office for review/research and to make copies of the appropriate forms. For the public, we can request a member of the Grievance Committee to assist in filling out the form/s. The appropriate forms that detail the Article/s of the Code that were violated, and other documentation is presented to the Grievance/Citation Panel for their review to decide if there is just cause to proceed.
- 3. MLBOR approved a Citation and Schedule of Fees in 2015 for the more common Complaints. The Panel may first issue a fine to the respondent as spelled out in this schedule and may also require additional education on a related subject. This is presented to the respondent who can accept the fine and/or education, or they can request to proceed to a full hearing. IF the violation <a href="issue a fine to the respondent as spelled out in this schedule and may also require additional education on a related subject. This is presented to the respondent who can accept the fine and/or education, or they can request to proceed to a full hearing. IF the violation <a href="issue a fine to the respondent as spelled out in this schedule and may also require additional education on a related subject. This is presented to the respondent who can accept the fine and/or education, or they can request to proceed to a full hearing. IF the Violation Schedule of fines and is judged warranting it then the Grievance/Citation Panel may recommend proceeding to a Hearing.
- 4. The Professional Standards Hearing process is spelled out in detail in the <u>Code of Ethics and Arbitration Manual.</u>

Mountain Lakes Board of REALTORS® 93 Tennessee St. Murphy, NC 28906 828-837-5297 Mon-Fri 8:30-4:00 Staff@MtnLakesBOR.com