



SECTION I

(Applicants for primary, secondary, or Designated REALTOR® membership or REALTOR-ASSOCIATE® membership are required to complete section I. Applicants for REALTOR® membership who are principal, partners, corporate officers or branch office managers must also complete section II. All other applicants should proceed directly to Section III. Applicants for MLS participation only should proceed to section VI).

_____ (Applicant's name)

_____ (Company Name)

(Check the appropriate box next to home or business address to designate a primary address for mailing purposes)

Home Address: _____

Home Phone/Fax: _____

Home Email Address: _____

Business Address: _____

Business Phone/Fax: _____

Business Email Address: _____

Business Web Address: _____

Cell Phone: _____

I hereby apply for REALTOR® (primary, secondary, or Designated) or REALTOR-ASSOCIATE® membership in the above named board/association, and enclose my check in the amount of \$750, which I understand will be returned to me in the event I am not accepted to membership. In the event my application is approved, I agree as a condition to membership to complete the Indoctrination course of the MTCBR, if any, and otherwise on my own initiative to thoroughly familiarize myself with the Code of Ethics of the National Association of REALTORS®, including the duty to arbitrate business disputes in accordance with the Code of Ethics and Arbitration Manual of the board and the constitution, bylaws, and rules and regulations of the MTCBR, the state association and the National Association, and I further agree to complete satisfactorily a reasonable and nondiscriminatory written examination covering such Code, constitution, bylaws, rules and regulations, and duty to arbitrate. I further agree that my act of paying dues shall evidence my initial and continuing commitment to abide by the aforementioned Code of Ethics, constitution, bylaws, rules and regulations, and duty to arbitrate, all as from time to time amended. Finally, I consent and authorize the board, through its membership committee or otherwise, to invite and receive information and comment about me from any member or other person, and I agree that any information and comment furnished to the board by any member or other person in response to any such invitation shall be conclusively deemed to be privileged and not form the basis of any action by me for slander, libel, or defamation of character.

NOTE: Applicant acknowledges that the MTCBR will maintain membership file of information which may be shared with other board/associations where applicant subsequently seeks membership. This file shall include: previous applications for membership, all final findings of Code of Ethics violations and violations of other membership duties within the past three (3) years; pending complaints alleging violations of the Code of Ethics or alleging violations of other membership duties; incomplete or pending disciplinary measures; pending arbitration requests; and information related to unpaid arbitration awards or unpaid financial obligations to the MTCBR or its MLS.

NOTE: Applicant acknowledges that if accepted as a member he/she subsequently resigns from the board or otherwise causes membership to terminate with an ethics complaint pending, the Board of Directors may condition renewal of membership upon applicant's certification that he/she will submit to the pending ethics proceeding and will abide by the decision of the hearing panel. If applicant resigns or otherwise causes membership to terminate, the duty to submit arbitration continue in effect even after membership lapses or is terminated, provide the dispute arose while applicant was a REALTOR®.

NOTE: Dues payments to the MTCBR are not tax deductible as charitable contributions. Portions of such payments may be tax deductible as ordinary and necessary business expenses.

I hereby submit the following information for your consideration:

Name as shown on License: _____
(Please Print)

Name to appear on Roster: _____
(Please Print)

Nickname: _____

License Number: _____

License Type:

Broker

Salesperson

Appraiser

Other—please specify _____

Social Security Number _____

Tax ID number _____

Name of firm _____

Office Address _____
(street) (suite or other)

(city) (state) (zip code) (phone)

Sole Proprietor

DBA

Partnership

Corporation

Position with firm: Principal Partner Corporate officer Branch office Manager
Employee Independent Contractor Other please explain: _____

I agree that, if accepted for membership in the MTCBR, I will pay the fees and dues as from time to time established:

Date: _____ Signature: _____
(Applicant's usual form of signature)

SECTION II

This section must be completed by applicants for Membership, whether primary or secondary, who are principals, partners, corporate officers, or branch office managers (i.e. individuals in positions of management control on behalf of individuals who are not physically present and engaged in the real estate profession). All other applicants should proceed to section III.

State the names and titles of all other principals, partners, or corporate officers of your firm.

Name/Title: _____

Name/Title: _____

Name/Title: _____

Is the office address specified in Section I your primary place of business?
YES No

List the names and addresses of all branch offices or other real estate firms in which you are a principal, partner, or corporate officer:

Name/Address: _____

Name/Address: _____

Name/Address: _____

Are you currently a member of another board or association which is affiliated with the NATIONAL ASSOCIATION OF REALTORS® or have you held membership in another board or association within the past three (3) years? YES NO

If "yes", list each board and association where membership was held, type of membership held, and approximate dates of membership.

Have you participated in a multiple listing service which is owned and operated by a board or association affiliated with the NATIONAL ASSOCIATION OF REALTORS® within the past three (3) years?

YES NO

If "yes", list the name of each MLS and the approximate dates of participation.

Business and credit references:

Bank references:

General Account: _____
(Name of Bank)

Escrow Account: _____
(Name of Bank)

Others: _____
(Name of Bank)

Are you or is any real estate firm in which you are a sole proprietor, general partner or corporate officer involved in any pending bankruptcy or insolvency proceeding or have you or any real estate firm in which you are a sole proprietor, general partner or corporate officer been adjudged bankrupt in the past three (3) years?

YES NO

If "yes", please specify the place(s) and date(s) of such action, and detail the circumstances relating thereto: (attach separate sheet if necessary)

NOTE: Applicant acknowledges that if the applicant or any real estate firm in which the applicant is a sole proprietor, general partner, or corporate officer is involved in any pending bankruptcy or insolvency proceedings or has been adjudged bankrupt in the past three (3) years, the MTCBR may require, as a condition of membership, that the applicant pay cash in advance for board/association and MLS fees for up to one (1) year from the date that membership is approved or from the date that the applicant is discharged from bankruptcy (whichever is later) or,

in the event that bankruptcy proceedings are initiated subsequent to obtaining membership in the board/association, that the member may be placed on a *cash basis* from the date that bankruptcy is initiated until one (1) year from the date that the member has been discharged from bankruptcy.

SECTION III

(Non-principal applicants for REALTOR® or REALTOR-ASSOCIATE® Membership should omit Parts A and B and complete Part C; all other applicants must complete parts A & B)

Name: _____

Part A

(Please indicate the category of membership to which you are applying)

- Institute Affiliate
- Affiliate
- Public Service
- Honorary
- Student

Part B

(Check the appropriate box next to home or business address for mailing records purposes)

Home Address: _____

Home Phone/Fax: _____

Home Email Address: _____

Business Address: _____

Business Phone/Fax: _____

Business Email/Web Address: _____

Part C

Are you a member of an Institute, Society or Council affiliated with the NATIONAL ASSOCIATION OF REALTORS®? YES NO

If "yes", please indicate the name of the affiliate: _____

What professional designations, if any, do you hold? _____

Note: An applicant for Institute Affiliate Membership shall supply to the Membership Committee evidence that applicant holds a professional designation awarded by a qualified institute, Society or Council affiliated with the NATIONAL ASSOCIATION OF REALTORS® that addresses a specialty area other than residential brokerage or who otherwise holds a class of membership in such institute, Society or Council that confers the right to vote or hold office and shall agree, if elected to membership, to abide by the Constitution, Bylaws, and Rules and Regulations of the local Board, the State Association, and the National Association.

Do you hold, or have you ever held, a real estate license in any other state?

YES NO

If "yes", please specify the name of state and license number:

Has your real estate license, in this or any other state, been suspended or revoked?

YES NO

If "yes", please specify the place(s) and date(s) of such action, and detail the circumstances relating thereto: (attach separate sheet if necessary)

Are there now any pending or unresolved complaints, or have there been within the past three (3) years, and complaints against you or the firm with which you have been associated before any state real estate regulatory agency or any other agency of government?

YES NO

If "yes", please specify the substance of each complaint in each state, the agency before which complaint was made, and the current status or resolution of such complaint: (attach separate sheet if necessary)

Have you ever been convicted of a felony? YES NO

If so, give details including state and court of conviction: (attach separate sheet if necessary)

Please proceed to section IV

Are you currently a member of another board or association which is affiliated with the NATIONAL ASSOCIATION OF REALTORS® or have you held membership in another board or association within the past three (3) years? YES NO

In what phase of real estate do you specialize?

Salesperson

Broker

Other

In what other business have you been engaged? (please include dates and cities)

First licensed in this state on _____, and continually licensed since _____.
(mm/yyyy) (yyyy)

Established in present location on: _____.
(mm/yyyy)

Last previous location: _____.

Resident here since: _____.
(mm/yyyy)

Previous resident: _____.
(city, country, or state)

List the names of board committess on which you would be interested in serving (e.g. multiple listing, professional standards, finances, etc.):

Are you now employed or engaged in any other business or profession? YES NO

(position and location)

Are you now a member of, or have you ever held membership in a real estate board not affiliated with the NATIONAL ASSOCIATION OF REALTORS®? YES NO

If "yes", list the names of each other such board, the type of membership held, and dates establishing the time period during which membership has been held:

SECTION VI

MLS Participation Agreement

(For MLS access by REALTOR® (principals) or a firm comprised of REALTOR® (principals) who are not members of the board/association.)

Name: _____

Office Address: _____

Board/association where primary membership is held:

I agree as a condition of participation in the MLS to abide by all relevant bylaws, rules and regulations and other obligations of participation, including payment of fees. I further agree to be bound by the Code of Ethics and Arbitration Manual, including the obligation to submit to ethics hearings and the duty to arbitrate contractual disputes with other REALTORS® in accordance with the established procedures of the board/association. I understand that a violation of the Code of Ethics may result in suspension or termination of MLS rights and privileges and that I may be assessed an administrative processing fee not to exceed \$500 which may be in addition to any discipline, including fines, that may be imposed.

Signature _____ (Date) _____
(Signature of participant, user, or subscriber)

Note: If the board/association intends to discipline MLS users and/or subscribers directly, each user and/or subscriber must sign this form in the space provided. Please refer to Parts 12 or 15, Section 7.1 of the Handbook on Multiple Listing Policy, for information on establishing authority to impose discipline on non-principal users and subscribers affiliated with MLS Participants.

APPENDIX 1

Voluntary Information

Addendum to the application for membership in the Mercer-Tazewell County Board of REALTORS®

Information supplied on this addendum is not required but will assist the board/association in establishing historical data regarding its members. Information furnished on this form will not be used in evaluating an applicant's qualifications for membership. The association encourages persons of all racial and ethnic groups, both sexes, with and without disabilities, and individuals otherwise protected from discrimination by the Fair Housing Act, to participate at all levels within the REALTOR® Association.

Voluntary Information concerning race and ethnic/national origin of applicant:

African/American/Black (not of Hispanic origin)
American Indian or Alaskan Native
Asian or Pacific Islander
Hispanic
White (not of Hispanic origin)

(Name)

(Date)

ACKNOWLEDGEMENT AND CERTIFICATION

I, _____, hereby certify that I have familiarized myself with and agree to abide by the **MLS Rules & Regulations** (“the Rules”) of the Mercer-Tazewell County Board of REALTORS.® I understand and acknowledge that pursuant to Section 10 of the Rules, “[a]ny information provided by the multiple listing service to the participants shall be . . . considered confidential and exclusively for the use of participants and real estate licensees affiliated with such participants.” I further understand and acknowledge that the unauthorized sharing of such information would be a *per se* violation of the Rules and could result in the imposition of sanctions including suspension (with no right to even reapply for up to three years) and a fine of up to \$15,000.

(printed name)_____

Date

(witness)

Date